

YKK RSL Manual
(For suppliers)
Ver. 3.0

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YKK Corporation
Fastening Products Group
SCM Center / QEM Dept.

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Introduction

In recent years, as consumers have become increasingly concerned about safety and security, there has been a tightening of the number of laws and regulations in various countries as well as regulations in the apparel industry (hereinafter referred to as "industry regulations") against chemical residues in consumer products and chemical substances used and emitted during manufacturing processes.

YKK Fastening Products Group (hereinafter referred to as "YKK") is committed to complying with these laws, regulations and industry regulations, and we have strengthened our chemical substance management in order to supply safe products to customers. Accordingly, we must control of our procurement of raw materials and chemicals used in manufacturing at procurement stage.

Therefore, YKK has developed a list of substances controlled by YKK (hereinafter referred to as the "YKK RSL") that takes into the legal issues and industry regulations in each country. By complying with the limits for the controlled substances set by the YKK RSL, YKK will be able to provide safe products to our customers and then to consumers.

Hence, suppliers are requested to comply with the standards for controlled substances set forth in this manual and in the YKK RSL and in the event of non-compliance with the standards, please provide us with that information. We thank you for your understanding and cooperation.

All suppliers, whether trading companies or distributors, are requested to notify the manufacturers of the products they supply to YKK of their agreement to comply with the limits for controlled substances set out in this manual and in the YKK RSL, and in the event of non-compliance with the standards for controlled substances set by YKK RSL, the company shall obtain the information and provide it to YKK.

1. Purpose

This manual is provided by YKK Corporation ("YKK") in compliance with the laws and regulations of each country and industry suppliers of raw materials, parts, chemicals, etc. that YKK procures for the manufacture and supply of products to be supplied by YKK to comply with YKK's control methods and standards for restricted substances.

2. Scope of Application

This manual applies to suppliers of raw materials, subcontracted parts, chemicals, etc. to YKK.

3. Definition of Terms

Supplier: Party who delivers raw materials, materials, chemicals, and other goods to YKK (includes trading companies and sales agents).

Delivered Product: Raw materials, subcontracted parts, chemicals, etc. delivered by suppliers to YKK.

YKK RSL: YKK Restricted Substances List. A list of chemical substances that YKK restricts the residues of in its products and their threshold values.

Residue: A state in which a restricted substance is added, mixed, or attached to the delivered product. Restricted substances are present in the product to be delivered, regardless of whether they are used intentionally or not.

Restricted Substance: Substances registered in the YKK RSL. Reference is made to Oeko-Tex® Standard 100 (Annex 6 & 7) and ZDHC-MRSL. (with some exceptions).

Regulation Value: The standard values for substances that are restricted to remain in products delivered to YKK. Reference is made to Oeko-Tex® Standard 100 (Annex 6 & 7) and ZDHC-MRSL. (with some exceptions).

Oeko-Tex® Standard 100: A certification standard that certifies that textile and related products do not contain substances harmful to the human body.

Website (JPN): <https://oeko-tex-japan.com/>

(ENG): <https://www.oeko-tex.com/en/>

ZDHC-MRSL: ZDHC (Zero Discharge Hazardous Chemicals), an industry association that aims for zero toxic substance content in apparel products, and the substances whose use in the manufacturing process is regulated by the List of Manufacturing Restricted Values (MRSL: Manufacturing Restricted Substance List).

Web site (ENG): <https://mrsl.roadmaptozero.com/>

4. Requirements

[Guarantee of Conformance to the YKK RSL]

The supplier shall ensure that the delivered products will conform to the YKK RSL. "Conformance to the YKK RSL" means that the substance meets the regulatory standards for the substances listed in the YKK RSL.

[Submission of YKK RSL Compliance Agreement]

The supplier shall submit a "YKK RSL Compliance Agreement" (Form 1) to YKK.

[Submission of YKK RSL Non-Conformance Information]

If the supplier cannot guarantee that the delivered products conform to the YKK RSL because of the presence of substances in the delivered products that cannot be guaranteed, the supplier must identify the product and the non-conforming substances and prepare a "YKK RSL Non-Conformance List" (Form 2) and submit it to YKK together with the "YKK RSL Compliance Agreement". The supplier's own document is also acceptable if product and the non-conforming substances are identified.

[Submission of Certificate of Conformance]

The supplier shall provide technical documentation (e.g. list of composition and test data) to prove that the delivered products conform to the YKK RSL or to cooperate with YKK's request to provide samples for component testing.

[Management of Suppliers]

The supplier shall properly manage their suppliers of raw materials and products to ensure that the delivered products conform to the YKK RSL.

[Change Management]

If the supplier changes the raw materials of a delivered product or other chemicals or materials used in the manufacturing process, please make sure in advance that the change will not affect your compliance with the YKK RSL.

If this change affects your compliance with the YKK RSL, immediately contact YKK in accordance with the following section [Notice and Consultation], and we will consult the measures.

[Notice and Consultation]

The supplier shall notify YKK immediately if any of the following conditions are met and shall consult with YKK to determine the best course of action.

- In case of a change in materials, etc. that may result in the delivered product not conforming to the YKK RSL.
- Unintentional contamination of controlled substances during manufacturing.
- If the results of the conformity survey to YKK RSL are incorrect.
- Other such as if there is a possibility that the supplier cannot guarantee or no longer guarantee the products delivered will comply with YKK RSL.

[Manufacturing Process Audit of Delivered Products]

The supplier is to cooperate with the audit of their manufacturing process upon request from YKK to evaluate the conformance of the delivered products to the YKK RSL.

[Compensation for Damages]

The supplier shall indemnify YKK for any loss and damage sustained by YKK due to violation of the requirements of this manual and any accompanying agreements to the extent that are attributable.

[Discussion]

If the supplier has any doubts about the requirements of this manual or any matter not covered by this manual, YKK will discuss the issue on a case-by-case basis.

5. Amendments to This Manual and the YKK RSL

YKK will notify the supplier of any additions or changes to the restricted substances or their limits in this manual or in the YKK RSL as a result of enactment or amendments to the laws and regulations of the countries in which it operates or revisions to industry standards, as well as any revisions to these documents.

6. Validity of the "YKK RSL Compliance Agreement", etc.

The validity of the "YKK RSL Compliance Agreement" shall cover the contents of this manual or the revised content of the YKK RSL. The supplier shall submit the "YKK RSL Compliance Agreement" or resubmit the "YKK RSL Non-Conformance List" accordingly.

Revision History

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| Ver.1.0 | Oct 2014 | •Creation of Ver.1.0. |
| Ver.1.1 | Jan 2015 | •Revision to Exhibit in accordance with the revised Oeko-Tex ® Standard 100. |
| Ver.1.2 | Apr 2016 | •Revision to Exhibit in accordance with the revised Oeko-Tex ® Standard 100. |
| Ver.2.0 | Apr 2017 | •Revision to Exhibit according to Appendices 6 & 7 of Oeko-Tex® Standard 100. |
| Ver.2.1 | Dec 2017 | •Error Correction in Exhibit •Revision to Exhibit in accordance with the revised Oeko-Tex® Standard 100. |
| Ver. 3.0 | Jun 2020 | •Renamed in accordance with the revised operational procedure Former: Rules for Control of Chemical Substances Contained in Products New: YKK RSL Manual (for Suppliers) •Full review of the arrangement of chapters based on the reviewed requirements to suppliers; Requirements are specified in the Chapter 4. Suppliers are to comply with the YKK RSL and notify YKK of any non-conformance to the YKK RSL. •Revision to Appendix “ YKK Restricted Substances List” (ver. 2020) in accordance with the updates to Annexes 6 & 7 of Oeko-Tex ® Standard 100 |